

# IIUSA PASSPORT SERIES *CODE OF CONDUCT*



*Reviewed and Approved by the IIUSA Board of Directors as of July 2023*

The IIUSA EB-5 Passport Series is the leading educational program for EB-5 professionals and stakeholders around the world. Its events provide an authoritative learning platform for prospective investors and international stakeholders.

Those joining the Passport Series events include “attendees,” people seeking information, updates, and education, and “participants,” verified IIUSA members-in-good-standing

The Passport series events are hosted by IIUSA and underwritten by IIUSA participants. So, they directly reflect IIUSA and its members to global audiences. Therefore, to assure professional and impactful events, IIUSA’s board of directors developed and implements the following code of conduct for event participants.

## **EVENT PARTICIPANTS AGREE TO:**

- Abide by the IIUSA’s passport series Code of Conduct and association best practices;
- Review and conform to the “Benefits of Sponsorship” outlined in the IIUSA Passport Series Sponsorship Agreement;
- Adhere to IIUSA’s “sponsor rules”;
- Not solicit attendees from the registration list that would be in violation with applicable laws, including but not limited to U.S. Securities Laws.
- Avoid comments that may be construed as derogatory;
- Not place any marketing collateral outside of areas designated by the association and further agree to adhere to limits and locations for all marketing collateral;
- Adhere to standard collateral and banner guidelines provided by IIUSA in its sponsor rules;
- Not solicit business in front of displays not their own, interrupt or entice prospective clients away when engaged with another participant;
- Refrain from willfully interfering with another sponsor’s advertising efforts.
- Conform to all laws and regulations relating to their profession or business and shall not engage in any conduct involving fraud, deceit, bribery, misrepresentation, or dishonesty; and
- Not make misleading, deceptive or false statements or claims about their personal, companies’ or projects’ qualification, experience, or performance.



**Some participants also participate on programmed educational panels. By agreeing to be a participant/panelist, each person confirms he/she or his/her representative is well-versed in the panel's subject matter, this code of conduct, and further agree to:**

- Be respectful of presentation timelines detailed by IIUSA staff to ensure all participants have equal opportunity to speak;
- Verify all information is factual and up to date prior to presenting it on an event panel;
- Refrain from self-promotional behavior while participating on panels;
- Refrain from any behavior or statement that may be deemed as a misrepresentation or obfuscation of the facts as it relates EB-5 Program, investment amounts, returns, or timelines; and
- Answer all audience questions accurately. If a panelist does not know the answer, avoid any speculation, and let other participant-panelists answer. If the question cannot be answered definitively, or if the answer is not immediately known due to lack of guidance from EB-5 governing agencies inform audience of any speculative answers.



**Violation of the Code of Conduct will result in the following:**

Initial violations will be addressed on site by IIUSA staff. Participants will be verbally informed of any violation and be reminded of the event Code of Conduct and "sponsor rules". If after IIUSA's effort to solve or correct any issue on-site, a sponsor fails to abide by these rules, the sponsor will be asked to discontinue its participation. Thereafter, the IIUSA Board of Directors will be notified. It shall be in its discretion to allow the sponsor to participate in future IIUSA events.

**DISCLAIMER**

- IIUSA makes no claim to know, verify, or advocate for the projects and/or services presented during each event. Attendees and legal counsel are encouraged to use IIUSA events as an educational platform and to connect with industry stakeholders.
- These events are for educational purposes only and do not provide legal advice or financial advice. Attendees should consult their own legal counsel with questions or concerns on this topic. Due diligence above and beyond the information presented during a Passport Series event is strongly encouraged before making any investment or immigration decision.